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PTO/SB/05 (12/97)

Approved for use through 09/30/00, OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

Form for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 315-0001 Total Pages 28  
 First Named Inventor or Application Identifier  
 Dieter Guldenfels  
 Express Mail Label No. EK522132174US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents-  
 Box Patent Application  
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Specification [Total Pages / 18 / ]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets / 8 / ]
4. ☒ Oath or Declaration [Total Pages / 2 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
  - i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named  
 in the prior application, see 37 CFR 1.63(d)(2) and  
 1.33(b).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*  
 The entire disclosure of the prior application, from  
 which a copy of the oath or declaration is supplied  
 under Box 4b, is considered as being part of the  
 disclosure of the accompanying application and is  
 hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure ☐ Copies of IDS  
 Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14. ☐ Small Entity ☐ Statement filed in prior application,  
 Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☒ Other: Check: \$690

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

## 18. CORRESPONDENCE ADDRESS

NAME	David L. Principe				
	Hodgson, Russ, Andrews, Woods & Goodyear, LLP				
ADDRESS	One M&T Plaza, Suite 2000				
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Date of Deposit May 25, 2000

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David L. Principe

Name

BS:392397\_LODOC

Signature

**FEE TRANSMITTAL FOR FY 2000**Small Entity payments **MUST** be supported by a small entity statement.

Patent fees are subject to annual revision.

Application Number

Filing Date

First Named Inventor

Dieter Guldenfels

Examiner Name

Group Art Unit

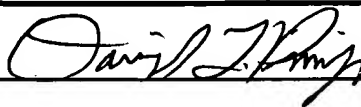
TOTAL AMOUNT OF PAYMENT

(\$)730

Attorney Docket Number

31529.0001

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:**3. ADDITIONAL FEES**

Deposit Account Number: 08-2442	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: Hodgson, Russ, Andrews, Woods & Goodyear	105	130	205	65	Surcharge - late filing fee or oath	\$
<input checked="" type="checkbox"/> Charge any Additional Fee Required <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 Under 37 CFR 1.16 and 1.17 at the Mailing of the Notice of Allowance	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	139	130	139	130	Non-English specification	\$
<b>FEE CALCULATION</b>	147	2,520	147	2,520	For filing a request for reexamination	\$
<b>1. FILING FEE</b> Large Entity Small Entity	112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
101 690 201 345 Utility filing fee \$690	115	110	215	55	Extension for reply within first month	\$
106 310 206 155 Design filing fee \$	116	380	216	190	Extension for reply within second month	\$
107 480 207 240 Plant filing fee \$	117	870	217	435	Extension for reply within third month	\$
108 690 208 345 Reissue filing fee \$	118	1,360	218	680	Extension for reply within fourth month	\$
114 150 214 75 Provisional filing fee \$	128	1,850	228	925	Extension for reply within fifth month	\$
<b>SUBTOTAL (1)</b> \$690	119	300	219	150	Notice of Appeal	\$
<b>2. CLAIMS</b> Fee from Extra below Fee Paid	120	300	220	150	Filing a brief in support of an appeal	\$
Total Claims / 20 / -20 = / / x / / = \$	121	260	221	130	Request for oral hearing	\$
Independent / 3 / -3 = / / x / / = \$	138	1,510	138	1,510	Petition to institute a public use proceeding	\$
Multiple dependent claims / / x / / = \$	140	110	240	55	Petition to revive - unavoidable	\$
Large Entity Small Entity	141	1,210	241	605	Petition to revive - unintentional	\$
Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	142	1,210	242	605	10 advance copies Utility issue fee (or reissue)	\$ \$
103 18 203 9 Claims in excess of 20	143	430	243	215	Design issue fee	\$
102 78 202 39 Independent claims in excess of 3	144	580	244	290	Plant issue fee	\$
104 260 204 130 Multiple dependent claim	122	130	122	130	Petitions to the Commissioner	\$
109 78 209 39 Reissue independent claims over original patent	123	50	123	50	Petitions related to provisional applications	\$
110 18 210 9 Reissue claims in excess of 20 and over original patent	126	240	126	240	Submission of Information Disclosure Statement	\$
<b>SUBTOTAL (2)</b> \$	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
<b>SIGNATURE:</b> 	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	\$
David L. Principe, Reg. No. 39,336	149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	\$
<b>DATE:</b> May 25, 2000 <b>Telephone:</b> 716-848-1262	*Reduced by basic filing fee paid					<b>SUBTOTAL (3)</b> \$40

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Date of Deposit May 25, 2000

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David L. Principe

Name

BS-392338, LODOC

Signature

